



Investment Adviser Representative

Lucas Charles Smith
CRD # 7683790

Shepherd Financial, LLC
111 Congressional Boulevard, Suite 100
Carmel, Indiana 46032
Phone: (317) 975-5033

February 14, 2025

Associated with the following Registered Investment Advisor

Shepherd Financial Investment Advisory, LLC
CRD # 288623

111 Congressional Blvd Suite 100
Carmel, IN 46032
(317) 975-5033

This brochure supplement provides information about your Investment Adviser Representative (“your Advisor”), Lucas Charles Smith, who is registered and associated with Shepherd Financial Investment Advisory, LLC (Shepherd Financial Investment Advisory). This brochure is a supplement to the Shepherd Financial Investment Advisory Form ADV 2A brochures, of which you should have already received.

Additional information about your Investment Adviser Representative is available via the U.S. Securities and Exchange Commission's website at www.adviserinfo.sec.gov.

Please Note: If you have not received a copy of the Form ADV 2A brochure, please contact Steven M. Wylam, Chief Compliance Officer, at (317) 975-5034. Brochure requests and additional questions may also be directed to Shepherd Financial Investment Advisory at (317) 975-5033.

EDUCATIONAL BACKGROUND & BUSINESS EXPERIENCE

Name: Lucas Charles Smith

Born: 1977

Education:

Bachelor of Science, Business, Indiana University – 2000

Business Experience

Investment Adviser Representative, Shepherd Financial Investment Advisory LLC,
December 2024 – Present

Client Relationship Consultant, Shepherd Financial, January 2023 – Present.

Manager, FuturePlan, October 2013 – November 2022.

DISCIPLINARY INFORMATION

Mr. Smith has no material legal or disciplinary events to report.

OTHER BUSINESS ACTIVITIES

Mr. Smith is not engaged in any investment-related business or occupation (other than this advisory firm).

ADDITIONAL COMPENSATION

Please refer to the Fees and Compensation section in Shepherd Financial Investment Advisory's Form ADV 2A of which should have been provided to you by your Advisor. This section describes in detail the potential other forms of compensation, in addition to the advisory fee, that may be shared with Mr. Smith and other Financial Advisors by Shepherd Financial Investment Advisory.

For non-advisory product purchases, your Advisor may receive a sales commission which may be in addition to any advisory fees received on your advisory assets. In these situations, your Advisor may be financially incentivized to offer you both non-advisory and advisory products and services.

Lincoln Investment provides incentives, such as sales conferences, for financial advisors, the eligibility for which may be based on the number of advisory accounts or the total assets under management. This may present a potential conflict of interest. Shepherd Financial Investment Advisory hold their Financial Advisors to a Code of Ethics and owe a fiduciary duty to all clients and require your Financial Advisor to always place your interests above his or her own and to avoid any recommendation that would not be in your best interest. It is each financial advisor's responsibility to understand and adhere to the requirements of the Code of Ethics, as well as applicable laws, regulations, and rules.

SUPERVISION

As a representative of Shepherd Financial Investment Advisory LLC, Lucas Charles Smith is supervised by Steve Wylam, the firm's Chief Compliance Officer. Steve Wylam is responsible for ensuring that Lucas Charles Smith adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Steve Wylam is (317) 975-5034.